



SEP/REF
Key m# 307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

of KIM Group Art Unit: Unknown

Filed: Sep 18, 2000 Examiner: Unknown

Title: PHARMACEUTICAL COMPOSITION HAVING ANTITUMOR ACTIVITY AND PROCESS FOR THE PREPARATION THEREOF

* * * *

October

REQUEST FOR REFUND

Hon. Commissioner of Patents
Washington, DC 20231

Siri:

Applicant respectfully requests a refund of charges made against Deposit Account 03-3975, control number 71404/271427 on August 29, 2000 (see copy of PTO statement attached). The \$260.00 (large entity) fee for multiple dependent claim and the \$78.00 (large entity) fee for excess independent claims were incorrectly assessed since these fees were paid by Applicant's representative, Pillsbury Madison Sutro (now Pillsbury Winthrop) on September 18, 2000 when Completion of Filing was filed (copy of docketing sheet, transmittal form and canceled check attached). Applicant is a small entity, and as such the correct fees of \$39 and \$130 were paid.

Applicant respectfully requests that the \$348.00 overcharge be credited to deposit account 03-3975, control number 71404/271427.

Thank you for your attention to this request. If there are any questions, please contact the undersigned at the telephone number listed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By: *John*

Glenn J. Perry
Registration No. 28/458
Tel. No. 703.905.2000
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1600 Tysons Boulevard
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OCT 01 2001
O I P E
P A T E N T & T R A D E M A R K
U S P T O



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

**MONTHLY STATEMENT
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

PILLSBURY MADISON & SUTRO LLP

FINA

Account No.
033975
Date
8-31-00
Page
6

NINTH FLOOR EAST TOWER
1100 NEW YORK AVENUE, N.W.
WASHINGTON DC 20005-3918

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO	FEES CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
8	25	00	73	78022108	10896/263166	361	325.00	32727.00
8	25	00	182	09481738	09703/261851	581	40.00	32687.00
8	25	00	202	08768622	11347/226506	561	30.00	32657.00
8	28	00	1	09299920	21123/PM260427	126	-240.00	32897.00
8	28	00	36	09422231	70662/264885	567	210.00	32687.00
8	28	00	38	76071760	73169-027003	467	210.00	32477.00
8	28	00	124	60150353	20826/237887	567	180.00	32297.00
8	28	00	125	60148692	50178/259051	567	30.00	32267.00
8	29	00	1	78022295	10896/263168	361	325.00	31942.00
8	29	00	80	09582877	11464/271427	968	260.00	31682.00
8	29	00	81	09582877	11464/271427	964	78.00	31604.00
8	29	00	101	09486051	9901/265536	581	40.00	31564.00
8	29	00	193	09630560	81069/271076	704	-25.00	31589.00
8	30	00	2	08616514	9437/222403	117	110.00	31479.00
8	30	00	2	09304677	28006/PM260699	102	234.00	31245.00
8	30	00	20	09576370	20076/PM260726	205	65.00	31180.00
8	30	00	35	75872329	899460262076	361	325.00	30855.00
8	30	00	36	75872576	89946.026	361	325.00	30530.00
8	30	00	37	75872330	899460261396	361	325.00	30205.00
8	30	00	61	08793950	236554	966	176.00	30029.00
8	30	00	62	08793950	41301/236554	964	80.00	29949.00
8	30	00	63	08793950	236554	968	260.00	29689.00
8	30	00	84	09645565	81823/268667	704	-72.00	29761.00
8	30	00	142	78022413	41148/263129	361	325.00	29436.00
8	30	00	187	78022617	10436/263134	361	325.00	29111.00
8	30	00	188	78022620	10436/263111	361	325.00	28786.00
8	30	00	190	78022623	10436/263165	361	325.00	28461.00
8	30	00	224	78022782	35233/263189	361	325.00	28136.00
8	30	00	244	5756828		569	25.00	28111.00
8	31	00	26	09058335	238256	102	78.00	28033.00
8	31	00	86	60195648	13353	214	75.00	27958.00
8	31	00	87	60195648	13353	227	25.00	27933.00
8	31	00	88	60195648	13353	123	50.00	27883.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE
9425.65

TOTAL CHARGES
46324.00

TOTAL CREDITS
64781.35

CLOSING BALANCE
27883.00

[GENINQ]
[inqusp4]

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***** Search by Matter # / Serial # / Patent # *****
Matter #: 271427 Serial #: 582877 Patent #: 0

Filing Receipt Received: **/**/*

<u>Event Date</u>	<u>Event/Resp Type</u>	<u>Amount</u>	<u>Check No.</u>
1. 06/30/00	NEW APPLICATION	0	0
2. 06/30/00	FILING FEE	970.00	126099
3. 06/30/00	INF DISCLOSURE	0	0
4. 06/30/00	ENGLISH SPEC'N	0	0
5. 09/18/00	DEC/SPEC&OR/FEE	0	0
6. 09/18/00	DECLARATION	65.00	127917
7. 09/18/00	CLAIMS AND FEE	130.00	127917
8. 09/18/00	CLAIMS AND FEE	39.00	127917
9. 09/18/00	SMALL ENTITY	0	0
10. 04/26/01	REQ FILNG RCPT	0	0

[M]ore, [O]rd, [N]x/[P]v, [F]wd/[B]k, [Q]uit:
total: 10 current: 1

OCT 01 2001

Page 1 of 2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases Only

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): KIM, Song-Bae

Appln. No.: 09 582,877
Series Code ↑ Serial No. ↑

Atty. Dkt. PM 271427
M# 00-PSBUS-574

Client Ref

National Phase Field
Based on PCT KR99 00659
↑ Country Code & Year

Title: PHARMACEUTICAL COMPOSITION...PREPARATION
THEREOF

Date: September 18, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:
The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept
the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. Signed Declaration Original Facsimile/Copy with spec/claims attached
3. Translation of the International Application into English including:
 - a. Request;
 - c. ___ pgs. Spec. and Claims;
 - e. ___ sheets Drawing which are: informal
 - b. Abstract
 - d. Translation verification
 - formal of size A4 11"
4. Copy of International Search Report (ISR) attached (___ page(s))
 - a. plus Annex of family members (___ page(s))
5. Information Disclosure Statement including
 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. 1 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: ___ sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))



Attached:

Preliminary Amendment:

Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.: *PATENT & TRADEMARK OFFICE*

Calculation of remaining fees due (if any): based on amended claim(s) per above item

12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

15 CLAIMS FEES previously paid paid herewith as follows:

Small Entity Statement Herewith Previously Filed

				Large/Small Entity		Fee Code	
16. Total Effective Claims	12	minus 20 =	0	x \$18/\$9	+0	966/967	
17. Independent Claims	4	minus 3 =	1	x \$78/\$39	+39	964/965	
18. If any proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+130	968/969	
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254	
20.				SUBTOTAL	\$234		
21. Original due date: SEPTEMBER 24, 2000							
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0	115/215
				(2mos)	\$380/\$190 =		116/216
				(3mos)	\$870/\$435 =		117/217
				(4mos)	\$1360/\$680 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139	
24. If "assignment" box 6 is X'd, add recording fee				\$40	+0	581	
TOTAL FEE ENCLOSED =						\$234	

(Our Deposit Account No. 03-3975)

(Our Deposit Account No. 33-3873)
(Our Order No. 71404 271427)
C#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

THE CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
KHC/amp

By Atty: Kendrew H. Colton

Reg. No. 30368

Sig.

Fax: (202) 822-0944
Tel: (202) 861-3606

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments